Serial No. 09/558,453
COPY OF PAPERS
ORIGINALLY FILED

Docket No. 15939-18

**PATENT 3/13/02

I hereby certify that on January 25, 2002, which is the date I am signing this certificate, I am depositing this correspondence the United States Postal Service, first class mail, in an envelope addressed to the Assistant Commissioner of Patents, Washington D.C. 20231

Applicant: Liu et al. Serial No.: 09/558,453 Filed: April 25, 2000

Title: Spindle Motor With an Aerodynamic

and Hydrodynamic Bearing Assembly

Examiner: Unknown Group Art Unit: 2834

Florence Thys-Doucet

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assistant Commissioner for Patents Washington, D.C. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Applicant brings the references listed below and on the attached Form PTO-1449 to the examiner's attention. 37 C.F.R. § 1.56. Do not construe the filing of this information disclosure statement as a representation that applicant has made a search (37 C.F.R. § 1.97(g)), or as an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. We enclose copies of the cited documents.

English Language Documents:

U.S. Patent No. 5,770,906; and

U.S. Patent No. 5,969,448

Foreign Language Documents:

Japanese Patent No. JP 11/275,807

We bring these foreign language documents to the examiner's attention with a concise explanation of the relevance of each document, as the individual designated in 37 C.F.R.

Serial No. 09/558,453 Docket No. 15939-18

§ 1.56(c) as most knowledgeable about the content of the information of each document presently understands it.

We request that the examiner show that he or she considered the cited references by returning a copy of the attached form PTO-1449 with initials or other appropriate marks, and that the examiner make the references of record as cited references in the application.

We enclose our check in the amount of \$180.00 for the filing fee. The Commissioner is hereby authorized to charge any additional filing fees under 37 C.F.R. § 1.16, or application processing fees under 37 C.F.R. § 1.17, which may be required now or during the pendency of this application, or credit any overpayment to Account No. 16-2230.

Respectfully submitted,

Dated: January 25, 2002

Guy Porter Smith, Reg. No. 20,142

OPPENHEIMER WOLFF & DONNELLY LLP

2029 Century Park East, Suite 3800

Los Angeles, California 90067

(310) 788-5000

I hereby certify that on January 25, 2002, which is the

date I am signing this certificate, I am depositing this

correspondence the United States Postal Service, first

class mail, in an envelope addressed to the Assistant

Commissioner of Patents, Washington, D.C. 20231

Serial No. 09/558,453

Florence Thys-Douget



5783 6 15939-18

Docket No. 15939-18
PATENT

Applicant: Liu et al. Serial No.: 09/558,453 Filed: April 25, 2000

Title: Spindle Motor With an Aerodynamic

and Hydrodynamic Bearing Assembly

Examiner: Unknown Group Art Unit: 2834

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assistant Commissioner for Patents Washington, D.C. 20231

STATEMENT FOR INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1/97(e)

Sir:

This statement is being made for the Information Disclosure Statement accompanying this statement.

I, Guy Porter Smith, signing below state:

That each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. 37 C.F.R. § 1.97(e)(1).

I am the practitioner who signs below on the basis of the information in the practitioner's file

Respectfully submitted,

Dated: January 25, 2002

Guy Porter Smith, Reg. No. 20,142

OPPENHEIMER WOLFF & DONNELLY LLP

2029 Century Park East, Suite 3800

Los Angeles, California 90067

(310) 788-5000

Serial No. 09/558,453



Docket No. 15939-18
PATENT

I hereby certify that on January 25, 2002, which is the date I am signing this certificate, I am depositing this correspondence the United States Postal Service, first class mail, in an envelope addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231

Florence Thys-Douces

Applicant: Liu et al. Serial No.: 09/558,453 Filed: April 25, 2000

Title: Spindle Motor With an Aerodynamic

and Hydrodynamic Bearing Assembly

Examiner: Unknown Group Art Unit: 2834

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assistant Commissioner for Patents Washington, D.C. 20231

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT AFTER MAILING DATE OF FINAL OFFICE ACTION BUT BEFORE PAYMENT OF THE ISSUE FEE

Sir:

The information disclosure statement transmitted herewith is being filed after a final action under § 1.113 but before the payment of the issue fee.

In accordance with the requirements of 37 C.F.R. § 1.97(d);

- A. Accompanying this transmittal is a statement as specified in 37 C.F.R. § 1.97(e).
- B. Applicant hereby petitions for the consideration of the accompanying information disclosure statement. 37 C.F.R. § 1.97(d)(2).
 - C. Applicant submits the petition fee set forth in § 1.17(i) (\$130.00)

We enclose our check in the amount of \$130.00 for the petition fee. The Commissioner is hereby authorized to charge any additional filing fees under 37 C.F.R. § 1.16, or application processing fees under 37 C.F.R. § 1.17, which may be required now or during the pendency of this application, or credit any overpayment to Account No. 16-2230.

Respectfully submitted,

Dated: January 25, 2002

Guy Porter Smith, Reg. No. 20,142

OPPENHEIMER WOLFF & DONNELLY LLP

2029 Century Park East, Suite 3800

Los Angeles, California 90067

(310) 788-5000

02/26/2002 JBALINAN 00000102 09558453

01 FC:122

130.00 OP